

Anh Tran

CONTACT	School of Business University of Connecticut One University Place Stamford, CT 06901	Phone : (203) 251-8469 Email : anh.2.tran@uconn.edu
ACADEMIC EMPLOYMENT	Assistant Professor, Finance Department, University of Connecticut	2018-present
EDUCATION	Ph.D. in Finance (minor in Statistics), University of Rochester M.S. in Applied Economics, University of Rochester M.S. in Finance, University of Utah B.E. in Automotive Engineering, Ho Chi Minh City University of Technology	2018 2015 2011 2006
CERTIFICATION	Passed Level II, CFA Institute	
INTERESTS	Asset Pricing, Mutual Funds, Corporate Governance.	
PUBLICATIONS	1. “Barking Up The Wrong Tree: Return Chasing in 401(k) plans,” (with Pingle Wang). - Journal of Financial Economics , 2023, 148 (1), 69–90.	
WORKING PAPERS	1. “The Role of Financial Markets in Mitigating Credit Market Bubbles,” (with Elena Asparouhova, Peter Bossaerts, and Dan Lu). - R&R at Journal of Financial Economics 2. “Unveiling Mutual Funds’ Securities Lending Strategies: Value versus Volume,” (with Shuaiyu Chen and Pingle Wang). 3. “Mutual Fund Governance: Do Long-term Relationships with Managers Blind Directors?” 4. “SEC Monitoring and Investigations: Signaling from Short-selling,” (with Silver Chung).	
TEACHING EXPERIENCE	University of Connecticut <i>Instructor:</i> - Principles of Investments and Derivatives - Security Valuation and Portfolio Management - Investment and Security Analysis (<i>MBA</i>) University of Rochester <i>Instructor:</i> Mathematical Techniques in Economics (<i>PhD</i>) <i>Lab instructor:</i> Data Analytics (<i>MBA</i>)	2018-present 2019-present 2020 2015 2017
PRESENTATIONS	2025 Midwest Finance Association Annual Meeting (scheduled), Eastern Finance Association Annual Meeting (scheduled) 2024 Nanyang Technological University, George Washington University, San Diego State University, Wabash River Conference, University of Massachusetts Lowell, Eastern Finance Association Annual Meeting, 5 th World Conference on Business, Management, Finance, Economics and Marketing 2023 Toulouse School of Economics, Financial Management Association Annual Meeting 2022 5 th Bank of Canada FSRC Macro-Finance Conference, Toulouse Business School	

	2021 University of Dauphine	
	2020 Midwest Finance Association Annual Meeting, Financial Management Association Annual Meeting	
	2019 University of Connecticut	
	2018 Financial Management Association Annual Meeting, University of Connecticut, Chinese University of Hong Kong (Includes coauthor presentations)	
DISCUSSANT	Eastern Finance Association Annual Meeting	2024-2025
INVITED SPEAKER	5 th World Conference on Business, Management, Finance, Economics and Marketing	2024
PROFESSIONAL SERVICE	Referee Quarterly Journal of Finance, Journal of Pension Economics and Finance, National Science Foundation	
	Conference Organizing Committee The 8 th Vietnam International Conference in Finance The 9 th Vietnam International Conference in Finance	2024 2025
	Conference Program Committee University of Connecticut Finance Conference	2021-present
	Conference Session Chair University of Connecticut Finance Conference Eastern Finance Association Annual Meeting	2023-2025 2024-2025
	Profession Vice President - Vietnam Finance Association International (VFAI)	2023-present
UNIVERSITY AND DEPARTMENT SERVICE	Advising UConn team participating in statewide CFA Research Challenge Undergraduate Student Advising	2018-present 2019-present
	College service Principle contact for the CFA University Affiliation Program	2022-present
AWARDS	Semifinalist for the Best Paper Award in Financial Intermediation and Markets at FMA Semifinalist for the Best Paper Award in Corporate Finance at FMA Annual Meeting American Finance Association Travel Grant Simon Business School Doctoral Fellowship	2023 2018 2016 2012-2017
PROFESSIONAL EMPLOYMENT	Investment Analyst Intern, Beneficial Financial Group Supervisor of Purchasing Department, Bonfiglioli Vietnam Ltd Leader of Purchasing Department, Vietnam Suzuki Corporation	2011 2008 2006-2008
SKILLS	Python, R, Matlab, Stata, SAS, JMP, web scraping.	